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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
53087-5004

SERIAL NO.
09/841,733

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

APPLICANT
Gunter, et al.

FILING DATE
April 25, 2001

GROUP

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>RPB</i>	A	6	2	1	6	1	1	6	04/10/01	Barkan, et al.	705	76	
	B	5	6	5	9	6	1	6	08/19/97	Sudia	380	23	
	C	5	9	0	3	8	8	2	05/11/99	Asay et al.	705	44	
	D	5	9	4	3	4	2	3	08/24/99	Muftic	380	25	
	E	5	9	7	8	4	8	4	11/02/99	Apperson et al.	380	25	
	F	5	9	6	0	0	8	5	09/28/99	De la Hueraga	380	25	
	G	6	0	0	3	0	1	4	12/14/99	Lee et al.	705	13	
	H	5	9	0	1	2	8	4	05/04/99	Hamdy-Swink	395	186	
	I	5	8	7	2	8	4	8	02/16/99	Romney et al.	380	25	
<i>RPB</i>	J	5	7	8	4	4	6	3	07/21/98	Chen et al.	380	21	
	K	5	7	6	1	3	0	9	06/02/98	Ohashi et al.	380	25	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>RPB</i>	L	Blaze, et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.
	M	Gunter, et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000, Vol. 30, pp. 1609-1640.
<i>RPB</i>	N	Gunter, et al., "GENERALIZED CERTIFICATE REVOCATION", ACM Symposium On Principles Of Programming Languages, January 2000, pp. 1-14.

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<i>210</i>	O	5	7	5	7	9	2	0		05/26/98	Misra et al.	380	25	
	P	5	7	5	4	6	5	4		05/19/98	Hiroya et al.	380	24	
	Q	5	6	9	4	4	7	1		12/02/97	Chen et al.	380	25	
	R	5	4	1	2	7	1	7		05/02/95	Fischer	380	4	
	S	5	3	3	9	4	0	3		08/16/94	Parker	395	425	
	T	5	2	6	1	0	0	2		11/09/93	Perlman et al.	380	30	
	U	5	3	1	5	6	5	7		05/24/94	Abadi et al.	380	25	
	V	5	2	1	4	7	0	2		05/25/93	Fischer	380	30	
	W	4	8	6	8	8	7	7		09/19/89	Fischer	380	25	
	X	4	8	1	6	6	5	5		03/28/89	Musyck et al.	235	380	
	Y	5	6	1	5	2	6	8		03/25/97	Bisbee et al.	380	25	

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														YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>210</i>	Z	Kakkar, et al., "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Networks, October 2000, pp. 1-19.
	AA	Blaze, et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23
	BB	Herzberg, et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.

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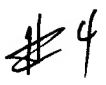
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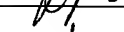



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DOCUMENT NUMBER										PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
														YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	KK	Muller, "BLUETOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33.
	LL	Mettala, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20.
	MM	Maywah, "AN IMPLEMENTATION OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.
	NN	Dan Backman, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, May 15, 1998, pp. 170-171.

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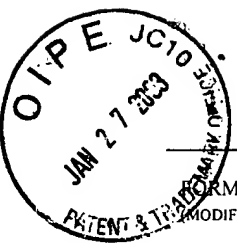
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EXAMINER

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PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 053087-5004	SERIAL NO. 09/841,733
APPLICANT Gunter et al.	
FILING DATE April 25, 2001	GROUP

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STATEMENT BY APPLICANT
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>DB</i>	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
	G	5	5	7	7	1	2	0	11/19/96	Penzias			
	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
<i>DB</i>	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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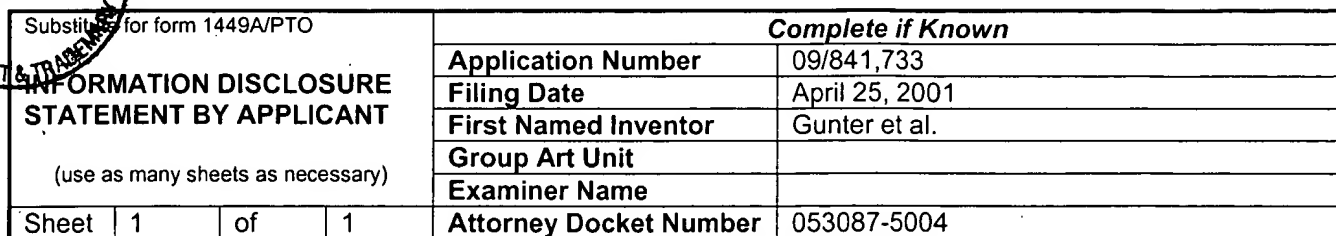
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>DB</i>	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.

EXAMINER <i>Ronald Bump</i>	DATE CONSIDERED <i>7/12/04</i>
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FOREIGN PATENT DOCUMENTS						
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ₁
	Country Code	Number	Kind Code (if known)			

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Examiner Signature	<i>Donald H. Harnett</i>	Date Considered	<i>7/12/16</i>
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7/12/04